

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76969

Tadashi KAGA

Appln. No.: 10/655,393

Group Art Unit: not yet assigned

Confirmation No.: 4215

Examiner: not yet assigned

Filed: September 22, 2003

For: PROGRESSIVE MULTIFOCAL LENS AND METHOD OF DESIGNING THE SAME

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

| Comp | olete if Known | | |
|------------------------|--------------------|--|--|
| Application Number | 10/655,393 | | |
| Confirmation Number | 4215 | | |
| Filing Date | September 22, 2003 | | |
| First Named Inventor | Tadashi KAGA | | |
| Art Unit | not yet assigned | | |
| Examiner Name | not yet assigned | | |
| Attorney Docket Number | Q76969 | | |

| | | | U.S. I | PATENT DOCUME | ENTS |
|-----------------------|--------------|-----------------|-----------------------------------|------------------|---|
| Examiner Initials* | Cite No.1 | Document Number | | Publication Date | |
| | | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | US | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|------------------------------|---------------------|-----------------------------------|------------------|-----------------------------|--------------------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | | | Publication Date | Name of Patentee or | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | EP | 1 004 918 | A1 | 5/31/2000 | SEIKO EPSON CORPORATION | English |
| | | EP | 0 809 126 | A1 | 11/26/1997 | SEIKO EPSON CORPORATION | English |
| | | DE | 101 03 113 | A1 | 8/1/2002 | | |
| | - | DE | 100 59 023 | A1 | 6/6/2002 | | |
| | | JP | 2002-372689 | A | 12/26/2002 | SEIKO EPSON CORPORATION | English |
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| | | NON PATENT LITERATURE DOCUMENTS | • | |
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| Examiner Initials* | | | Translation ⁶ | |
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| Examiner Signature | Date Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/665,393

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

A complete English translation of JP-A No. 2002-372689 is being submitted herewith,

and therefore no concise explanation for such foreign language document is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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CUSTOMER NUMBER

Date: April 12, 2004

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